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Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/686,729

Filing Date: October 10, 2000

Title: MINIMALLY INVASIVE
ANNULOPLASTY PROCEDURE AND
APPARATUS

Examiner: A. Hoey

Group Art Unit: 3765

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents
Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application a copy of the documents listed on the attached Substitute Form 1449A. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☒ A fee is required. Authorization to charge the undersigned's deposit account \$180.00 is

attached.

- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.

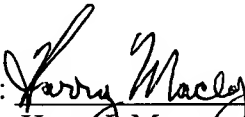
Applicants would appreciate the Examiner initialing and returning the Substitute Form 1449A, indicating that the information cited on the form has been considered and made of record herein.

This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2012. However, the Commissioner is not authorized to charge the issue fee to the Deposit Account.

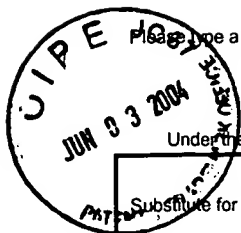
Respectfully submitted,

Date: June 3, 2004

By: 

Harry J. Macey
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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/686,729
				Filing Date	October 10, 2000
				First Named Inventor	John Nguyen
				Group Art Unit	3765
				Examiner Name	A. Hoey
Sheet	1	of	1	Attorney Docket Number	CSI-2012

060304

U.S. PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1.	3,656,185		Carpentier	04/18/1972	
	2.	4,042,979		Angell	08/23/1977	
	3.	5,201,880		Wright et al	04/13/1993	
	4.	5,593,424		Northrup, III	01/14/1997	
	5.	5,961,539		Northrup, III et al	10/05/1999	
	6.	5,972,024		Northrup, III et al	10/26/1999	
	7.	6,250,308		Cox	06/26/2001	

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FOREIGN PATENT DOCUMENTS							
Examiner Initials [*]	Cite No. ¹	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
	8.		WO 03/053289	A1	Milo	07/03/2003	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	9.	MILO; Derwent Abstract: Publication No. WO 2003053289 A1 July 3, 2003	
	10.	GRONDIN, Pierre, et al.; Carpentier's Annulus and De Vega's Annuloplasty; Nov. 1975, pp. 852-861. The Journal of Thoracic and Cardiovascular Surgery Vol. 70, No. 5, 1975.	
	11.	RABAGO, M.D., Gregorio, et al.; The New De Vega Technique In Tricuspid Annuloplasty; pp. 231-238. J. Cardiovas. Surg., 21, 1980.	
	12.	RIVERA, M.D., R. et al.; Carpentier's Flexible Ring Versus De Vega's Annuloplasty; Feb. 1985, pp. 196-203. J. Thorac. Cardiovasc. Surg. Vol. 89, No. 2, 1985.	
	13.	HOLPER, K., et al.; Surgery For Tricuspid Insufficiency: Long Term Follow-Up After De Vega Annuloplasty. Thorac. Cardiovasc. Surgeon 41, 1993, pp.1-8.	
	14.	WEI, M.D., Jeng, et al; De Vega's Semicircular Annuloplasty For Tricuspid Valve Regurgitation. Ann. Thorac. Surg. 55 1993, pp. 482-285.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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